

Report To: Corporate Governance Committee

Date of Meeting: 22 May 2013

Report Author: Head of Internal Audit Services

Title: Internal Audit Progress Report

1. What is the report about?

This report updates the Committee on the latest Internal Audit reports issued.

2. What is the reason for making this report?

To bring Members up to date regarding:

- recent Internal Audit reports issued
- management's response to issues we have raised

3. What are the Recommendations?

Committee considers and comments on recent Internal Audit reports issued and follow up reviews carried out.

4. Summary of Recent Internal Audit Reports

Our reports use colours for assurance ratings as follows:

Green	High Assurance	Risks and controls well managed
Yellow	Medium Assurance	Risks identified but are containable at service level
Amber	Low Assurance	Risks identified that require meeting with Director/Lead Member
Red	No Assurance	Significant risks identified that require member / officer case conference

Since my report in April 2013, we have issued the following reports.

Audit Report	Audit Opinion	Issues Raised			Comments
		Critical Risk (Red)	Major Risk (Amber)	Moderate Risk (Yellow)	
Corporate Risk - Collaboration	Green	0	0	0	None
Winter Maintenance	Yellow	0	0	10	None
Oak Tree Centre, Rhyl	Yellow	0	0	9	None

Management's response to issues raised by Internal Audit

Most of our Internal Audit reports identify risks and control weaknesses. We rate these as critical, major or moderate risk. Management agrees actions to address the risks, including responsibilities and timescales.

We report all instances where management fails to respond to our follow up work or where they exceed the agreed implementation date by more than three months. This Committee decides whether it needs to take further action, for example, by calling the relevant people to its next meeting or asking for a written report to explain lack of progress.

We recently issued two follow up reports that were originally rated as 'amber' or 'low' assurance and remain 'amber' due to lack of significant progress.

Pavilion Theatre, Rhyl					
	No. of Issues Raised	No. of Actions Agreed	No. of Actions Complete	No. of Actions In Progress	No. of Actions Outstanding
Critical Risk (Red)	3	5	1	4	0
Major Risk (Amber)	2	4	2	1	1
Moderate Risk (Yellow)	8	13	5	7	1
Data Protection/FOI					
Major Risk (Amber)	4	4	0	4	0
Moderate Risk (Yellow)	3	3	0	0	3